

## Photoelectron Spectra of Symmetrical 1,4-Dihalonorbornanes<sup>1)</sup>

Evi Honegger<sup>a</sup>, Edgar Heilbronner\*<sup>a</sup>, Nicole Hess<sup>b</sup>, and Hans-Dieter Martin\*<sup>b</sup>

Institut für Physikalische Chemie, Universität Basel<sup>a</sup>,  
Klingelbergstr. 80, CH-4056 Basel, and

Institut für Organische Chemie I, Universität Düsseldorf<sup>b</sup>,  
Universitätsstr. 1, D-4000 Düsseldorf

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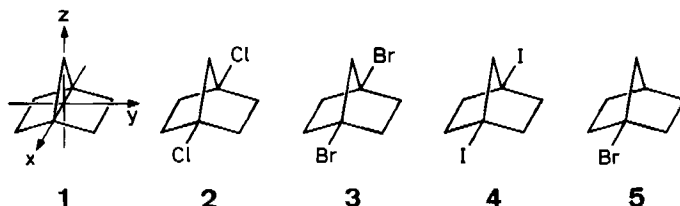
The He(I $\alpha$ ) photoelectron spectra of 1,4-dihalonorbornanes (2–4) and of 1-bromonorbornane (5) have been recorded. Their assignment is based on results derived from recently proposed equivalent bond orbital treatment which has now been adapted to include halogen atoms and to account for spin-orbit coupling.

### Photoelektronenspektren symmetrischer 1,4-Dihalonorbornane<sup>1)</sup>

Die He(I $\alpha$ )-Photoelektronenspektren von 1,4-Dihalonorbornanen (2–4) und 1-Bromnorbornan (5) wurden gemessen. Die Zuordnung basiert auf Ergebnissen eines kürzlich vorgeschlagenen Modells äquivalenter Bindungsorbitale, das auf Halogenatome erweitert wurde und die Spin-Bahn-Kopplung berücksichtigt.

In previous communications we have first presented a “mise au point” of the equivalent bond orbital (EBO) model together with a convenient redefinition in terms of localized molecular orbitals  $\lambda_{\mu}$  (LMO) derived from *ab initio* calculations<sup>1a)</sup> and then its application to the interpretation of the He(I $\alpha$ ) PE spectra of saturated polycyclic hydrocarbons<sup>1b)</sup>.

We now use this model to analyze the PE spectra of the symmetrical 1,4-dihalo-bicyclo[2.2.1]heptanes (= 1,4-dihalonorbornanes) 2, 3, and 4, with halogen = chlorine, bromine, and iodine, respectively.



These investigations are also of interest within the context of the transmission of substituent effects. 1,4-Disubstituted bicycloalkanes have been used extensively

to elucidate the nature of polar effects without the concomitant encumbrances of stereochemical and  $\pi$ -conjugated phenomena<sup>2</sup>). Recently a  $^{19}\text{F}$  NMR study<sup>3</sup>) provided evidence that long-range electronegativity influences contribute significantly to the  $^{19}\text{F}$  chemical shifts, and that these effects are decisively dependent upon the kind of the  $\sigma$ -framework. A  $\sigma$ -electron delocalization mechanism has therefore been proposed.

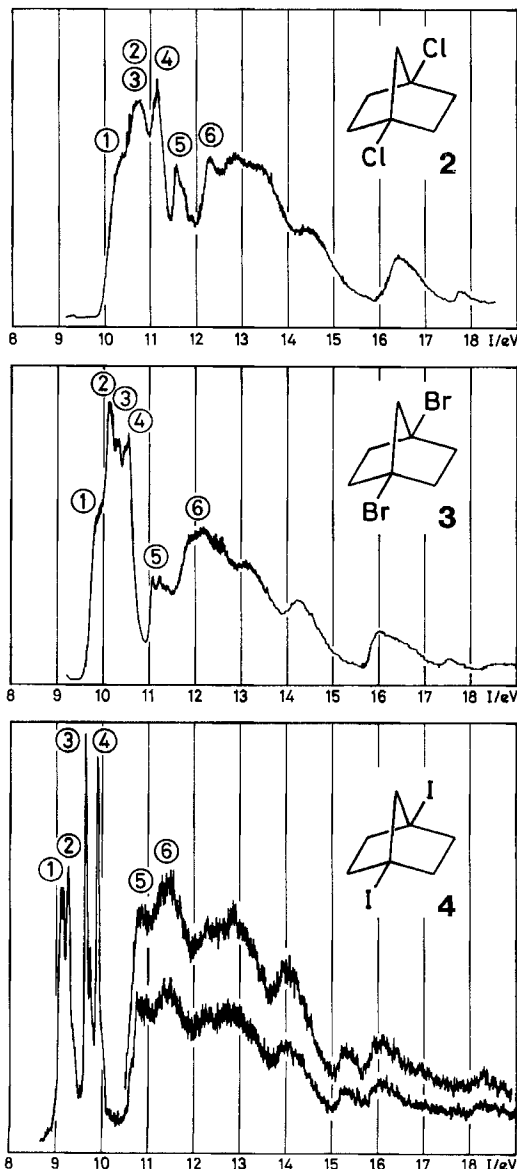


Figure 1. He(I $\alpha$ ) PE spectra of the 1,4-dihalonorbornanes 2, 3, and 4

## I. Experimental Results

The He(I $\alpha$ ) PE spectra of **2**, **3**, and **4** are shown in Fig. 1, and, for comparison, the spectrum of 1-bromobicyclo[2.2.1]heptane (**5**) (= 1-bromonorbornane) in Fig. 2. The numbering of the individual band maxima ① (or shoulders) is arbitrary. The positions  $I_j^m$  of the corresponding maxima, which are close to the vertical ionization energies  $I_j^v$ , are collected in Table 1. (See also Tab. 3, where they are given together with the assignment we wish to propose on the basis of the discussion given below.) The PE spectrum of the parent hydrocarbon bicyclo[2.2.1]heptane (= norbornane) (**1**) has been reported previously<sup>4</sup>.

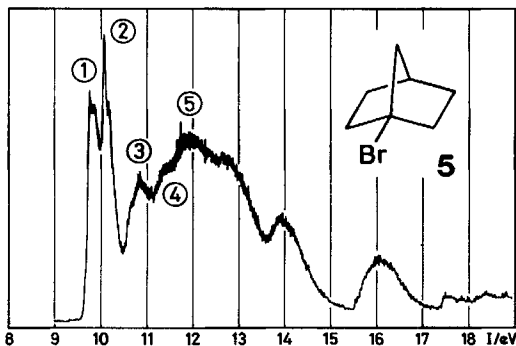


Figure 2. He(I $\alpha$ ) PE spectrum of 1-bromonorbornane (**5**)

Table 1. Ionization energies  $I_j^m$ /eV of the 1,4-dihalonorbornanes **2**, **3**, **4**, and of 1-bromonorbornane (**5**). Probable error:  $\pm 0.02$  if two decimals given,  $\pm 0.05$  if second decimal given as subscript,  $\pm 0.1$  if one decimal given. Values in parenthesis = shoulder or overlapped band

| Band | <b>2</b>             | <b>3</b>                               | <b>4</b>           | <b>5</b>           |
|------|----------------------|--|--------------------|--------------------|
| ①    | (10.3 <sub>5</sub> ) | (9.9)                                  | 9.11               | 9.76               |
| ②    |                      | 10.1 <sub>5</sub>                      | 9.26               | 10.07              |
| ③    | 10.7 <sub>5</sub>    | (10.3 <sub>5</sub> )                   | 9.63               | 10.8 <sub>5</sub>  |
| ④    | 11.1 <sub>0</sub>    | 10.5 <sub>5</sub>                      | 9.90               | (11.4)             |
| ⑤    | 11.5 <sub>0</sub>    | 11.1 <sub>5</sub> <sup>a)</sup> (11.5) | 10.8               | 11.9 <sup>b)</sup> |
| ⑥    | 12.3                 | (11.9) to 12.7 <sup>b)</sup>           | 11.5 <sup>b)</sup> |                    |

<sup>a)</sup> First vibrational (?) component. — <sup>b)</sup> Maximum due to overlapping bands.

## II. EBO Model

The EBO model used for **2**, **3**, and **4** is essentially the  $A\Delta$  version described previously in lit.<sup>1b)</sup>, which means that apart from the self-energies  $A_\mu$  of the LMOs  $\lambda_\mu$ , cross-terms  $F_{\mu\nu} = \langle \lambda_\mu | \mathcal{F} | \lambda_\nu \rangle$  are included for geminal ( $B_{\mu\nu}$ ) and vicinal ( $\Gamma_{\mu\nu}$ ) LMOs as well as for pairs of LMOs  $\lambda_\mu, \lambda_\nu$  separated by two bonds ( $\Delta_{\mu\nu}$ ). All other cross terms are neglected. Obviously this model has to be adapted to account for the presence of the halogen atoms X = Cl, Br, I in positions 1 and 4 of the norbornane skeleton and for spin-orbit coupling.

As a first step the Fock matrix  $\mathbf{F}_\lambda(\mathbf{1})$  for the valence shell of norbornane  $\mathbf{1}$  ( $\text{C}_7\text{H}_{12}$ ;  $C_{2v}$ ) is constructed in localized basis according to the rules given in lit.<sup>1b)</sup>. Diagonalization of  $\mathbf{F}_\lambda(\mathbf{1})$  yields the 20 canonical molecular orbitals (CMO)  $\varphi_j = \lambda \mathbf{c}_j$  ( $j = 1, 2, \dots, 20$ ), where  $\lambda = (\lambda_1 \dots \lambda_\mu \dots \lambda_{20})$  is the row vector of the LMOs of  $\mathbf{1}$  and  $\mathbf{c}_j = (c_{1j} \dots c_{\mu j} \dots c_{20j})^\top$  the column vector of the LMO coefficients defining  $\varphi_j$ . The eight highest occupied CMOs  $\varphi_j$  of  $\mathbf{1}$  are displayed in Fig. 3, where open and full bonds refer to LMOs  $\lambda_\mu$  with opposite phases. The numbers attached to these bonds are the absolute values  $|c_{\mu j}|$  of the LMO coefficients for  $\varphi_j$  (cf.<sup>1a)</sup>). Coefficients the absolute values of which satisfy  $|c_{\mu j}| < 0.1$ , have been disregarded.

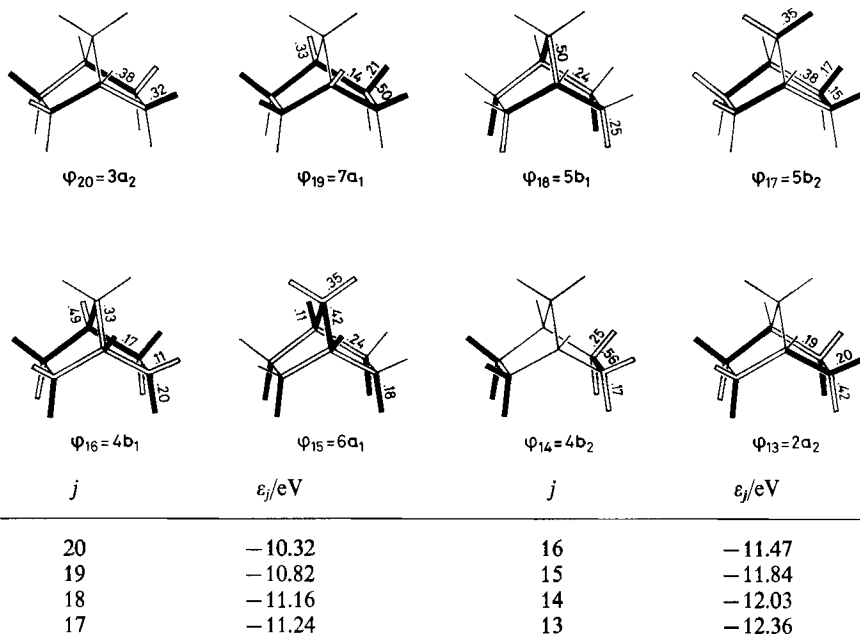


Figure 3. Representation of the eight highest occupied canonical molecular orbitals (CMO)  $\varphi_j$  of norbornane ( $\mathbf{1}$ ), in terms of linear combinations of localized molecular orbitals (LMO)  $\lambda_\mu$  (cf. text)

To adapt this EBO model to our compounds  $\mathbf{2}$ ,  $\mathbf{3}$ , and  $\mathbf{4}$ , we proceed as follows:

a) We first remove the two LMOs  $\lambda_{\text{CH},1}$  and  $\lambda_{\text{CH},4}$ , which correspond to the two CH-bonds in position 1 and 4 of  $\mathbf{1}$ , to yield the reduced system  $\mathbf{1}^{\text{red}}$ . With respect to  $\mathbf{F}_\lambda(\mathbf{1})$  this means that we strike out the diagonal matrix elements in question, as well as all cross terms linking them to the remaining 18 LMOs  $\lambda_\mu$ . Diagonalization of this reduced Fock matrix  $\mathbf{F}_\lambda(\mathbf{1}^{\text{red}})$  yields the 18 so-called "pre-canonical" molecular orbitals (PCMO)  $\psi_j$  ( $j = 1$  to 18) of the alkyl moiety  $\mathbf{1}^{\text{red}}$  present in the dihalides  $\mathbf{2}$ ,  $\mathbf{3}$ ,  $\mathbf{4}$ . (For more details of this approach, cf. lit.<sup>5)</sup>) For symmetry reasons the PCMOs  $\psi_j$  of  $\mathbf{1}^{\text{red}}$  belonging to the irreducible representations  $A_2$  and  $B_2$  are identical to the CMOs  $\varphi_j$  of  $\mathbf{1}$ , both in shape and energy, whereas those of  $A_1$  and  $B_1$  symmetry obtained for  $\mathbf{1}^{\text{red}}$  differ from the correspond-

ing CMOs of **1**. However, the latter PCMO energies  $E_j$  are always close to the parent CMO energies  $\varepsilon_j$ . Note that the PCMO Fock matrix  $\mathbf{F}_\psi$  of  $\mathbf{1}^{\text{red}}$  is diagonal, i. e.  $\mathbf{F}_\psi = \text{diag}(E_1 \dots E_j \dots E_{18})$ .

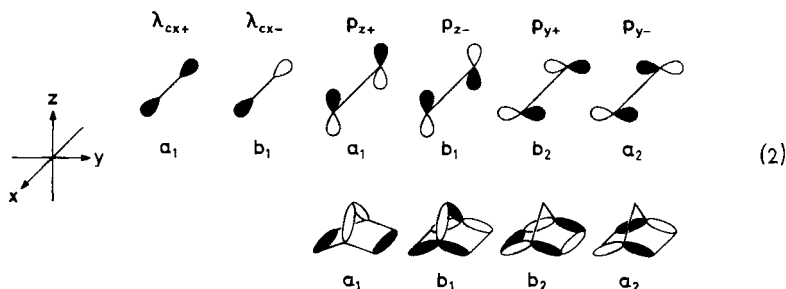
b) The reduced system  $\mathbf{1}^{\text{red}}$  has now to be augmented by the inclusion of the LMOs  $\lambda_{\text{CX},1}$  and  $\lambda_{\text{CX},4}$  of the carbon-halogen  $\sigma$ -bonds in positions 1 and 4 of **2**, **3**, **4**, and by the degenerate set of lone pair orbitals  $p_{z,1}$ ,  $p_{y,1}$ ,  $p_{z,4}$  and  $p_{y,4}$  at the two halogen centres X. [Depending on the nature of the latter (X = Cl, Br or I), these AOs are 3p, 4p, or 5p orbitals]. Accordingly, the resultant Fock matrices  $\mathbf{F}(2)$ ,  $\mathbf{F}(3)$ ,  $\mathbf{F}(4)$  will now be of order  $24 \times 24$ .

Because the PCMO Fock matrix  $\mathbf{F}_\psi$  of  $\mathbf{1}^{\text{red}}$  is symmetry factorized, it is of course of advantage to add only matrix elements which refer to the symmetry adapted linear combinations of the new basis orbitals mentioned above. These are:

|   | Symmetry       |
|---|----------------|
| $\lambda_{\text{CX}+} = (\lambda_{\text{CX},1} + \lambda_{\text{CX},4})/\sqrt{2}$ | A <sub>1</sub> |
| $\lambda_{\text{CX}-} = (\lambda_{\text{CX},1} - \lambda_{\text{CX},4})/\sqrt{2}$ | B <sub>1</sub> |
| $p_{z+} = (p_{z,1} + p_{z,4})/\sqrt{2}$   | A <sub>1</sub> |
| $p_{z-} = (p_{z,1} - p_{z,4})/\sqrt{2}$   | B <sub>1</sub> |
| $p_{y+} = (p_{y,1} + p_{y,4})/\sqrt{2}$   | B <sub>2</sub> |
| $p_{y-} = (p_{y,1} - p_{y,4})/\sqrt{2}$   | A <sub>2</sub> |

(1)

Qualitative representations of these linear combinations are shown in the following diagrams together with schematic sample PCMOs of  $\mathbf{1}^{\text{red}}$  with which interaction would be symmetry allowed.



The self energies  $A_\mu$  (= diagonal matrix elements) of these linear combinations (1), (2) are the same as those of their basis orbitals (at least within the approximation used!) because of the large spatial separation of the latter, so that:

$$\begin{aligned}
 A_{\text{CX},+} &= A_{\text{CX},-} = A_{\text{CX}} \equiv \langle \lambda_{\text{CX},\mu} | \mathcal{F} | \lambda_{\text{CX},\mu} \rangle \\
 A_{p_{z,\pm}} &= A_{p_{y,\pm}} = A_p \equiv \langle p_{z,\mu} | \mathcal{F} | p_{z,\mu} \rangle \equiv \langle p_{y,\mu} | \mathcal{F} | p_{y,\mu} \rangle
 \end{aligned}
 \tag{3}$$

The cross-terms linking the individual linear combinations (1), (2) to the PCMOs  $\psi_j$  of appropriate symmetry have to be evaluated according to (4).

$$\begin{aligned}
 F_{j,CX+} &= \langle \psi_j | \mathcal{F} | \lambda_{CX+} \rangle \\
 F_{j,CX-} &= \langle \psi_j | \mathcal{F} | \lambda_{CX-} \rangle \\
 F_{j,p_r} &= \langle \psi_j | \mathcal{F} | p_r \rangle; (r = z+, z-, y+, y-)
 \end{aligned}
 \tag{4}$$

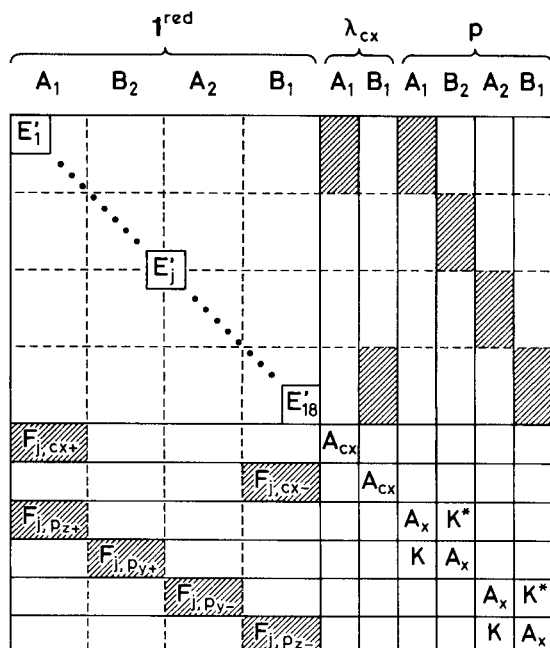
i. e. in terms of the matrix elements  $\langle \lambda_\mu | \mathcal{F} | \lambda_{CX} \rangle$  or  $\langle \lambda_\mu | \mathcal{F} | p_r \rangle$  between the basis LMOs  $\lambda_\mu$  of  $\mathbf{1}^{\text{red}}$  and the basis orbitals of the linear combinations (1), and of the coefficients  $c_j$  defining the PCMOs  $\psi_j$ .

c) Replacing the two CH-bonds in position 1 and 4 of  $\mathbf{1}$  by CX-bonds has of course repercussions on the self energies  $A_\mu$  of the remaining LMOs  $\lambda_\mu$  of the alkyl moiety  $\mathbf{1}^{\text{red}}$  in  $\mathbf{2}$ ,  $\mathbf{3}$ , and  $\mathbf{4}$ . The basis energy shifts  $\delta A_\mu$  are due to the fact that our LMOs  $\lambda_\mu$  are not strictly localized on a particular bond  $\mu$ , but exhibit little tails in other regions of the molecule to ensure orthogonality with the remaining LMOs  $\lambda_\nu$ ,  $\nu \neq \mu$ , of the set. As a consequence, changing the nature of a particular bond  $\nu$  will not only change  $A_\nu$  but also influence the  $A_\mu$  values of LMOs  $\lambda_\mu$  localized on some other bond  $\mu$ . The resultant shifts  $\delta A_\mu$  are the larger, the closer the bonds  $\nu$  and  $\mu$ . In practice, only shifts  $\delta A_\mu$  of LMOs  $\lambda_\mu$  geminal and vicinal to  $\lambda_\nu$  need to be taken into consideration.

The necessary corrections of the PCMO energies  $E_j$  of  $\mathbf{1}^{\text{red}}$  are obtained either by a first order perturbation calculation according to (5)

$$E_j = E_j + \delta E_j \quad \delta E_j = \sum_\mu c_{\mu j} \delta A_\mu \tag{5}$$

or, if necessary, by diagonalization of the matrix  $F'_\lambda(\mathbf{1}^{\text{red}})$  in which the diagonal elements  $A_\mu$  have been shifted by  $\delta A_\mu$ .



(7)

d) Finally we have to account for the effect of spin-orbit coupling by introducing cross terms (6)

$$\begin{aligned}\langle p_{z+} | H_{\text{SOC}} | p_{y-} \rangle &= \langle p_{z-} | H_{\text{SOC}} | p_{y+} \rangle = \kappa \equiv \frac{i}{2} \zeta(X) \\ \langle p_{y+} | H_{\text{SOC}} | p_{z+} \rangle &= \langle p_{y-} | H_{\text{SOC}} | p_{z-} \rangle = \kappa^* \equiv -\frac{i}{2} \zeta(X)\end{aligned}\quad (6)$$

where  $H_{\text{SOC}}$  is the spin-orbit coupling hamiltonian and  $\zeta(X)$  the spin-orbit coupling constant typical for the free halogen atom X, namely  $\zeta(\text{Cl}) = -0.073$  eV,  $\zeta(\text{Br}) = -0.305$  eV, and  $\zeta(\text{I}) = -0.628$  eV, i.e.  $\zeta(X) = (2/3) [E(^2P_{3/2}) - E(^2P_{1/2})]^{6-8}$ .

The complete  $\mathbf{F}'$  matrix for the dihalonorbornanes **2**, **3**, **4** is shown in the schematic representation (7). Note that  $\mathbf{F}'$  is hermitian because of the presence of the spin-orbit coupling terms  $\mathbf{K}$  and their complex conjugates  $\mathbf{K}^*$ .

### III. Calibration of the Halogen Parameters

Localization of the CMOs  $\varphi_j$  of an alkyl halide RX (e. g. by the method of *Foster and Boys*<sup>9</sup>) yields three (almost) equivalent lone-pair LMOs  $\lambda_{\text{np}}$  of essentially  $sp^2$  character at the halogen centre X. From the  $\lambda_{\text{np}}$ , the basis atomic orbitals  $p_z$  and  $p_y$  needed for the linear combinations (1), (2) are obtained according to (8).

$$\begin{aligned}p_z &= (2\lambda_{n1} - \lambda_{n2} - \lambda_{n3})/\sqrt{6} \\ p_y &= (\lambda_{n2} - \lambda_{n3})/\sqrt{2} \\ s &= (\lambda_{n1} + \lambda_{n2} + \lambda_{n3})/\sqrt{3}.\end{aligned}\quad (8)$$

If the local symmetry at the X atom were exactly  $C_{3v}$ , the  $p(s)$  orbital would span the  $E(A)$  representation. Whereas the basis energies  $A_p$  of the  $p_z$ ,  $p_y$  orbitals are  $-12.97$ ,  $-11.85$ , and  $-10.45$  eV for the free atoms  $X = \text{Cl}$ ,  $\text{Br}$ ,  $\text{I}$ , respectively<sup>7,10</sup>, and are thus close to the frontier orbital energies of norbornane **1** (cf. Fig. 3), the basis energies  $A_s$  of the  $s$  orbitals are more than 10 eV lower, i.e.  $-25.54$ ,  $-23.80$ , and  $-20.61$  eV for the atoms  $X = \text{Cl}$ ,  $\text{Br}$ ,  $\text{I}$ <sup>10</sup>. It is obvious that the latter AOs can be safely neglected for our purposes. A further consequence of the low energy of the halogen  $s$  AOs is that the CX-bond  $\sigma$  orbitals  $\lambda_{\text{CX},1}$  and  $\lambda_{\text{CX},4}$  (cf. (1), (2)) are essentially linear combinations of a carbon  $sp^3$  AO and an almost pure halogen  $p_x$  AO.

To calibrate the self energies  $A_p$  and  $A_{\text{CX}}$  of the LMOs  $p_z$ ,  $p_y$  and  $\lambda_{\text{CX}}$ , as well as the interaction matrix elements  $F_{j,p_r}$  (cf. definition (4)) of the former with PCMOs  $\psi_j$  of appropriate symmetry, we make use of the PE spectroscopic data of methyl chloride, bromide, and iodide<sup>11</sup> (see (9)).

|                    | $\bar{I}_1^m/\text{eV}$ | $I_2^m/\text{eV}$ | $I_3^m/\text{eV}$ |
|--------------------|-------------------------|-------------------|-------------------|
| CH <sub>3</sub> Cl | 11.29                   | 14.42             | 15.47             |
| CH <sub>3</sub> Br | 10.69                   | 13.52             | 15.14             |
| CH <sub>3</sub> I  | 9.85                    | 12.30             | 14.80             |

(9)

The value  $\bar{I}_1^m = (I_1^m(^2E_{3/2}) + I_1^m(^2E_{1/2}))/2$  is the mean of the ionization energies of the two lone-pair bands, which are split by spin-orbit coupling.

To derive the needed parameters from the data (9) we assign to the methyl group of the methyl halides MeX a pair of pseudo  $\pi$  group orbitals  $\psi_{\text{Me},z}$ ,  $\psi_{\text{Me},y}$  of basis energy  $A_{\text{Me}} = -14.2 \text{ eV}^{12}$ . Assuming the validity of *Koopmans'* theorem and a simple MO model one finds in a first approximation the relationships (10), where the cross-terms  $\Gamma_X$  is defined as  $\Gamma_X = \langle p_z | \mathcal{F} | \psi_{\text{Me},z} \rangle = \langle p_y | \mathcal{F} | \psi_{\text{Me},y} \rangle$ .

$$\begin{aligned} A_{\text{CX}} &\approx -I_2^m \\ A_X &\approx -(\bar{I}_1^m + I_3^m + A_{\text{Me}}) \\ \Gamma_X^2 &\approx [(\bar{I}_1^m - I_3^m)^2 - (A_X - A_{\text{Me}})^2]/4 \end{aligned} \quad (10)$$

From (9) and (10) one obtains the following set of parameters, which are used for the construction of the matrices (6) of 2, 3, and 4, together with the spin-orbit coupling constants  $\zeta(\text{X})$  (also included in (11)).

| X  | $A_{\text{CX}}/\text{eV}$ | $A_X/\text{eV}$ | $\Gamma_X/\text{eV}$ | $\zeta(\text{X})/\text{eV}$ |
|----|---------------------------|-----------------|----------------------|-----------------------------|
| Cl | -14.4                     | -12.5           | -1.9                 | -0.07                       |
| Br | -13.5                     | -11.6           | -1.8                 | -0.31                       |
| I  | -12.3                     | -10.5           | -1.7                 | -0.63                       |

(11)

Whereas methyl halides have been used, in conjunction with the somewhat arbitrary assumption  $A_{\text{Me}} = -14.2 \text{ eV}$ , to derive the parameters (11), we now use the observed ionization energies of *tert*-butyl halides to estimate the perturbations  $\delta A_\mu$  needed for calculating  $\delta E_j$  and thus  $E_j'$  according to (5). From the literature values for *tert*-butyl chloride ( $\bar{I}_1^m = 10.69 \text{ eV}$ ,  $I_2^m = 12.05 \text{ eV}$ ), *tert*-butyl bromide ( $\bar{I}_1^m = 10.10 \text{ eV}$ ,  $I_2^m = 11.56 \text{ eV}$ ) and *tert*-butyl iodide ( $\bar{I}_1^m = 9.37 \text{ eV}$ ,  $I_2^m = 10.87 \text{ eV}$ )<sup>11</sup> we obtain (12),

| X  | $\delta A_{\text{CC}}(\text{geminal})$ | $\delta A_{\text{CH}}(\text{vicinal})$ |
|----|--|--|
| Cl | -1.3 eV                                | -0.4 eV                                |
| Br | -0.7 eV                                | -0.2 eV                                |
| I  | 0.0 eV                                 | 0.0 eV                                 |

(12)

where *geminal*, *vicinal* refer, of course, to the CX bond. A sample STO-3G calculation of *tert*-butyl chloride with subsequent localization yielded  $\delta A_{\text{CC}} = -1.3 \text{ eV}$ ,  $\delta A_{\text{CH}} \approx -0.6 \text{ eV}$  ( $\pm 0.1 \text{ eV}$  depending on conformation), i.e. values consistent with the empirical results. It should be noted that the above corrections, although reflecting the trend expected on the basis of the electronegativity of the halogen atoms, can only be considered as very crude first approximations.

The resulting diagonal elements  $E_j'$  (according to (5)) are presented in Tab. 2.



Table 2. Shifted PCMO energies  $E_j^i$ /eV of the norbornane moiety  $1^{\text{red}}$  contained in the 1,4-dihalonorbornanes **2**, **3**, and **4** and in 1-bromonorbornane (**5**)

| $E_j^i$ | <b>2</b> |  | $E_j^i$ | <b>3</b> |  | $E_j^i$ | <b>4</b> |  | $E_j^i$ | <b>5</b> |  |
|---------|----------|--|---------|----------|--|---------|----------|--|---------|----------|--|
|         | Symm.    |  | Symm.   | Symm.    |  | Symm.   | Symm.    |  | Symm.   | Symm.    |  |
| -11.20  | $a_2$    |  | -10.80  | $a_2$    |  | -10.32  | $a_2$    |  | -10.51  | $a''$    |  |
| -12.25  | $b_2$    |  | -11.78  | $b_1$    |  | -11.17  | $b_1$    |  | -11.11  | $a'$     |  |
| -12.27  | $b_1$    |  | -11.79  | $b_2$    |  | -11.24  | $b_2$    |  | -11.42  | $a'$     |  |
| -12.67  | $a_1$    |  | -12.19  | $a_1$    |  | -11.64  | $a_1$    |  | -11.55  | $a''$    |  |
| -12.93  | $a_2$    |  | -12.55  | $b_2$    |  | -12.03  | $b_2$    |  | -12.18  | $a'$     |  |
| -13.01  | $b_2$    |  | -12.65  | $a_2$    |  | -12.36  | $a_2$    |  | -12.19  | $a''$    |  |
| -13.67  | $a_1$    |  | -13.13  | $a_1$    |  | -12.50  | $a_1$    |  | -12.50  | $a''$    |  |
| -13.76  | $b_1$    |  | -13.45  | $b_1$    |  | -13.16  | $b_1$    |  | -13.20  | $a'$     |  |
| -14.27  | $b_2$    |  | -13.98  | $b_2$    |  | -13.68  | $b_2$    |  | -13.38  | $a''$    |  |

#### IV. Calculation of Molecular Orbital Energies

After insertion of the matrix elements presented in previous section, the resulting hermitian matrices (7) of **2**, **3**, and **4** are diagonalized, using a routine developed by *A. Schmelzer* (Institut für Physikalische Chemie, Universität Basel).

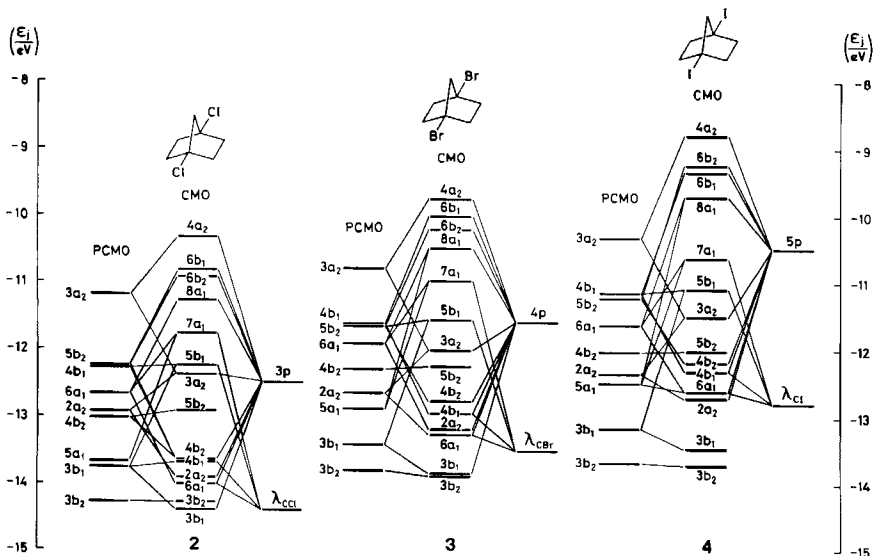


Figure 4. Schematic representation of the interaction between the PCMOs  $\psi_j$  of the norbornane moiety of the dihalonorbornanes **2**, **3**, **4** with the respective halogen  $np$  lone-pair orbitals and the localized  $\lambda_{CX}$  bond orbitals

The orbital energies  $\epsilon_j$  so obtained and the symmetry labels of the corresponding orbitals  $\phi_j$  are given in Tab. 3 and in Fig. 4. The symmetry labels of the  $\phi_j$  are numbered, starting for each irreducible representation with 1 for the lowest of the

valence shell orbitals only, i.e. disregarding the orbitals of carbon 1s parentage and the inner orbitals of the respective halogen atom X. This allows a meaningful cross referencing of the orbitals of 2, 3, and 4.

Table 3. Computed orbital energies  $\epsilon_j/\text{eV}$  and assignment of the observed bands ( $I_j^m$ ) in the PE spectra of the compounds 2 to 5. The symmetry labels ("Orb.") refer to  $C_{2v}$  in the case of 2, 3, 4, and to  $C_s$  in the case of 5. The percentage contribution of the np or  $\lambda_{CX}$  orbitals corresponds to the square of the corresponding coefficient(s) in the expansion of  $\phi_j$ . Two values  $I_j^m$  tied by a bracket labeled S.O. correspond to spin-orbit split components

| 1,4-Dichloronorbornane (2) |                 |      |                    |                        | 1,4-Dibromonorbornane (3) |                 |      |                    |                   |
|----------------------------|-----------------|------|--------------------|------------------------|---------------------------|-----------------|------|--------------------|-------------------|
| $(-\epsilon_j/\text{eV})$  | $\phi_j$        | % 3p | $(\lambda_{C-Cl})$ | $I_j^m$                | $(-\epsilon_j/\text{eV})$ | $\phi_j$        | % 4p | $(\lambda_{C-Br})$ | $I_j^m$           |
| 10.33                      | 4a <sub>2</sub> | 31   | (-)                | 10.3 <sub>5</sub>      | 9.76                      | 4a <sub>2</sub> | 39   | (-)                | 9.9               |
| 10.83                      | 6b <sub>1</sub> | 50   | (1)                | 10.7 <sub>5</sub>      | 10.14                     | 6b <sub>1</sub> | 54   | (1)                | 10.1 <sub>5</sub> |
| 10.93                      | 6b <sub>2</sub> | 49   | (-)                |                        | 10.25                     | 6b <sub>2</sub> | 58   | (-)                | 10.3 <sub>5</sub> |
| 11.29                      | 8a <sub>1</sub> | 57   | (5)                | 11.1 <sub>0</sub>      | 10.58                     | 8a <sub>1</sub> | 60   | (5)                | 10.5 <sub>5</sub> |
| 11.78                      | 7a <sub>1</sub> | 2    | (29)               | 11.5 <sub>0</sub>      | 11.15                     | 7a <sub>1</sub> | 3    | (34)               | 11.1 <sub>5</sub> |
| 12.25                      | 5b <sub>1</sub> | 2    | (46)               | 12.3 to                | 11.58                     | 5b <sub>1</sub> | -    | (59)               | (11.5)            |
| 12.39                      | 3a <sub>2</sub> | 20   | (-)                | 12.6                   | 12.02                     | 3a <sub>2</sub> | 28   | (-)                | (11.9) to         |
| 12.92                      | 5b <sub>2</sub> | 4    | (-)                | Max: 12.8 <sub>5</sub> | 12.49                     | 5b <sub>2</sub> | 4    | (-)                | 12.7              |
| 13.64                      | 4b <sub>2</sub> | 39   | (-)                | 13.4                   | 12.96                     | 4b <sub>2</sub> | 34   | (-)                |                   |
| 13.68                      | 4b <sub>1</sub> | 16   | (27)               |                        | 13.03                     | 4b <sub>1</sub> | 29   | (13)               |                   |
| 13.91                      | 2a <sub>2</sub> | 49   | (-)                |                        | 13.26                     | 2a <sub>2</sub> | 35   | (1)                |                   |
| 14.02                      | 6a <sub>1</sub> | 38   | (9)                |                        | 13.32                     | 5a <sub>1</sub> | 33   | (8)                |                   |
| 14.29                      | 3b <sub>2</sub> | 2    | (-)                | 14.4                   | 13.87                     | 3b <sub>1</sub> | 14   | (1)                |                   |
| 14.40                      | 3b <sub>1</sub> | 30   | (-)                |                        | 14.01                     | 3b <sub>2</sub> | 2    | (-)                |                   |

| 1,4-Diiodonorbornane (4)  |                 |      |                   |                      | 1-Bromonorbornane (5)     |          |      |                    |                        |
|---------------------------|-----------------|------|-------------------|----------------------|---------------------------|----------|------|--------------------|------------------------|
| $(-\epsilon_j/\text{eV})$ | $\phi_j$        | % 5p | $(\lambda_{C-I})$ | $I_j^m$              | $(-\epsilon_j/\text{eV})$ | $\phi_j$ | % 4p | $(\lambda_{C-Br})$ | $I_j^m$                |
| 8.80                      | 4a <sub>2</sub> | 56   | (-)               | 9.11                 | 9.89                      | 9a''     | 38   | (-)                | 9.76                   |
| 9.25                      | 6b <sub>2</sub> | 70   | (1)               | 9.26                 | 10.27                     | 13a'     | 53   | (-)                | 10.07                  |
| 9.26                      | 6b <sub>1</sub> | 52   | (1)               | 9.36                 | 10.89                     | 8a''     | 14   | (-)                | 10.8 <sub>5</sub>      |
| 9.71                      | 8a <sub>1</sub> | 63   | (3)               | 9.90                 | 10.95                     | 12a'     | -    | (17)               | (11.4) to              |
| 10.45                     | 7a <sub>1</sub> | 3    | (42)              | 10.8                 | 11.46                     | 11a'     | 1    | (26)               | 12.8                   |
| 10.79                     | 5b <sub>1</sub> | 1    | (70)              | 11.5                 | 11.66                     | 10a'     | 4    | (10)               | Max: 11.9              |
| 11.50                     | 3a <sub>2</sub> | 33   | (-)               |                      | 12.17                     | 7a''     | 4    | (-)                | 12.7 <sub>5</sub>      |
| 12.02                     | 5b <sub>2</sub> | 1    | (-)               |                      | 12.25                     | 6a''     | 8    | (-)                |                        |
| 12.19                     | 4b <sub>2</sub> | 29   | (-)               | 12.2 to 13.3         | 13.01                     | 5a''     | 27   | (-)                |                        |
| 12.30                     | 4b <sub>1</sub> | 31   | (4)               |                      | 13.14                     | 9a'      | 30   | (1)                |                        |
| 12.58                     | 6a <sub>1</sub> | 28   | (8)               |                      | 13.65                     | 8a'      | 11   | (4)                | 13.9                   |
| 12.71                     | 2a <sub>2</sub> | 20   | (-)               |                      | 13.85                     | 4a''     | 2    | (-)                |                        |
| 13.44                     | 3b <sub>1</sub> | 6    | (2)               | 13.9                 | 15.55                     | 7a'      | 1    | (5)                | Max: 16.0 <sub>5</sub> |
| 13.71                     | 3b <sub>2</sub> | 1    | (-)               | (14.3 <sub>5</sub> ) | 15.77                     | 6a'      | -    | (28)               |                        |

## V. Discussion of the Results

As shown in Fig. 4 the orbital interaction-pattern and consequently the overall CMO sequence is predicted to be the same for 2, 3, and 4. This can be rationalized

as follows: Due to the basis energy shifts  $\delta A_\mu$  (cf. (12)) the PCMOs of **2** and **3** are shifted towards lower energies, but exhibit the same sequence (with marginal differences) as the unshifted PCMOs of **4**. In addition the basis energies of the np and  $\lambda_{\text{CX}}$  orbitals show the same trend towards higher energies, going from **2** to **3** to **4** as the corresponding PCMOs, so that the energy difference between the np and  $\lambda_{\text{CX}}$  basis energies remains about the same (i.e. 1.9 eV for **2** and **3**; 1.8 eV for **4**). Finally the cross-terms  $\Gamma_X$  are all of the same magnitude.

The orbital schemes of Fig. 4 allow therefore a general and rather straightforward rationalization of the PE spectra for **2** to **4**: The top four orbitals belong to the four different irreducible representations of  $C_{2v}$  ( $4a_2$ ,  $6b_1$ ,  $6b_2$ ,  $8a_1$ ) and give rise to the bands ① to ④, which are nicely separated in the PE spectrum of **4** due to the large effect of spin-orbit coupling. As indicated in Table 3 these orbitals exhibit high contributions from the halogen np orbitals, the percentage increasing from **2** to **3** to **4**, as would be expected from the relative positions of their basis energies. Bands ⑤ and ⑥ are due to the ejection of electrons from the orbitals ( $7a_1$ ,  $5b_1$ ) which show considerable contributions from the  $\lambda_{\text{CX}}$  bond orbitals. Electron ejection from the lower lying orbitals gives rise to overlapping bands, which prevents an accurate correlation between calculated and experimental ionization energies.

1-Bromonorbornane (**5**): This molecule belongs to the symmetry group  $C_s$ , and the orbital interaction pattern is therefore less determined by symmetry, than was the case of the dihalonorbornanes **2** to **4**. However, our model leads again to a straightforward rationalization of its PE spectrum: Bands ① and ② are due to the ejection of electrons from the orbitals  $9a''$  and  $13a'$  which are separated by 0.31 eV, mainly due to spin-orbit coupling. Both bands show a vibrational fine structure (①:  $\Delta\tilde{\nu} = 645 \text{ cm}^{-1}$ ; ②:  $\Delta\tilde{\nu} = 807 \text{ cm}^{-1}$ ). As in the case of the molecules **2** to **4** the corresponding top orbitals exhibit the highest contribution from the bromine 4p orbitals. Band ③ with  $I_3^m = 10.8_5 \text{ eV}$  is due to the ejection of an electron from the orbital  $8a''$  which is mainly located in the norbornane moiety. According to our calculation it should still possess about 14% bromine 4p orbital character. Removal of an electron from the orbitals  $12a'$  and  $11a'$  gives rise to band ④ ( $I_4^m \approx 11.4 \text{ eV}$ ). These orbitals show high contribution from  $\lambda_{\text{CB}}$ . The double band labeled ⑤ is due to overlapping bands which, however, can not be correlated with accuracy with the calculated data.

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## Experimental Part

Melting points: Büchi 510 melting point apparatus, uncorrected. — 80 MHz  $^1\text{H}$  NMR spectra: Bruker WP 80. — All samples were stored under nitrogen and to the exclusion of light. Their purity was checked by VPC on several columns. Preparative VPC was performed on a 4 m column of 10% Carbowax 20 M on Chromosorb R-NAW (60/80 mesh). Starting Materials: Norcamphor: Aldrich—Europe, Hexachlorocyclopentadiene: Merck AG. Sol-

vents: 1,2-Dibromoethane and diiodomethane were distilled over calcium oxide directly before use. The halogen exchange and the modified Hunsdiecker reactions were carried out under nitrogen.

*1,4-Dichlorobicyclo[2.2.1]heptane (2)*: Prepared according to *Wilcox and Zajacek*<sup>13)</sup> via addition of ethylene to hexachlorocyclopentadiene. The four chlorines were removed in two steps: the two bridge chlorines by treatment with zinc in acetic acid, those in olefinic position by hydrogenation with Raney nickel in the presence of excess potassium hydroxide. Sublimation of a small amount of **2** provided white crystals, m.p. 79–80.5°C (lit.<sup>13)</sup> 78–79°C). — IR (CHCl<sub>3</sub>): 2975 m-sh, 2960 m, 2925 m, 2880 m, 1455 m, 1315 s, 1280 m, 1012 s, 865 cm<sup>-1</sup> vs. — <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ = 1.96, 2.15 (q, 8 H, *J* = 8 Hz); 2.17 (s, 2H). — For the conversion of **2** into the corresponding dibromo and diiodo derivatives (according to *Wiberg et al.*<sup>14)</sup>) a bridgehead halogen exchange method developed by *Pincock*<sup>15)</sup> was used with slight modifications:

*1,4-Dibromobicyclo[2.2.1]heptane (3)*: Small strips of aluminium foil (0.70 g, 25 mmol) in 100 ml of 1,2-dibromoethane were heated with bromine (0.60 g, 3.8 mmol) to 80°C. After 15 min the temperature was raised to 100°C and **2** (1.0 g, 6.1 mmol) in 20 ml of CH<sub>2</sub>Br<sub>2</sub> was added dropwise. The mixture was kept at 100°C for 1 h. After cooling and removal of the solvent under reduced pressure the dark residue was carefully washed with cold water, solved in ether and decolourized with activated charcoal. After evaporation of the solvent the remaining solid was sublimed at 30°C/0.3 Torr to give 0.50 g (2.3 mmol, 38%) of white needles of **3**, m.p. 73–73.5°C (lit. 73.5–74°C<sup>14)</sup>, 73°C<sup>15)</sup>). — IR (CHCl<sub>3</sub>): 2980 w-sh, 2955 m, 2930 w, 2870 w, 1450 m, 1310 s, 1270 s, 1008 vs, 845 cm<sup>-1</sup> vs. — <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ = 2.03, 2.23 (q, 8 H, *J* = 8 Hz); 2.31 (s, 2H).

*1,4-Diiodobicyclo[2.2.1]heptane (4)*: In analogy to the procedure described above bromine (0.40 g, 25 mmol) was added to aluminium foil (0.35 g, 13.0 mmol) in 15 ml of diiodomethane at 70°C. The reaction mixture was heated to 95°C, and after 25 min **2** (1.0 g, 6.1 mmol) in 10 ml of CH<sub>2</sub>I<sub>2</sub> was added dropwise. After 60 min the suspension was cooled and poured into cold water. The organic layer was separated, treated with activated charcoal and dried over MgSO<sub>4</sub>. The solvent was removed under reduced pressure (0.3 Torr, 60°C). The residue had to be sublimed twice to provide 0.50 g (1.4 mmol, 27%) of slightly yellow needles of **4**, m.p. 103°C (lit. 102–103°C<sup>14)</sup>, 101°C<sup>15)</sup>). — IR (CHCl<sub>3</sub>): 2985 w, 2950 m, 2915 w, 2870 w, 1445 s, 1310 s, 1265 s, 995 vs, 832 cm<sup>-1</sup> s. — <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ = 2.04, 2.27 (q, 8H, *J* = 8 Hz); 2.43 (s, 2H).

*1-Bromobicyclo[2.2.1]heptane (5)*: 1-Chlorobicyclo[2.2.1]heptane was prepared according to *Wiberg et al.*<sup>16)</sup>. The bridgehead halogen exchange method — as described above — proved to be unsuccessful for the conversion into **5**. Thus, crude 1-chlorobicyclo[2.2.1]heptane was treated with lithium and carbon dioxide to provide bicyclo[2.2.1]heptane-1-carboxylic acid according to *Bixler and Niemann*<sup>17)</sup>. The acid, purified by sublimation, was converted into the corresponding bromide by means of a modified *Cristol-Firth-Hunsdiecker* reaction<sup>18)</sup>. The carboxylic acid (1.0 g, 7.1 mmol) was heated with anhydrous MgSO<sub>4</sub> (1.0 g, 8.1 mmol) and red mercuric oxide (1.3 g, 6.0 mmol) in 40 ml of dibromomethane to 80°C. Bromine (1.4 g, 8.7 mmol) was added dropwise, and the reaction mixture was stirred for 8 h. After cooling and filtration the solution was washed with diluted aqueous NaHSO<sub>3</sub>, NaOH, and brine. After drying (MgSO<sub>4</sub>/K<sub>2</sub>CO<sub>3</sub>) the solvent was removed under reduced pressure (25 Torr, 30°C). The remainder was distilled to give 0.49 g (2.80 mmol, 39.4%) of **5** as a colourless liquid, b.p. 63–65°C/20 Torr (lit.<sup>18)</sup> 62–64°C/23 Torr). A sample of **5** was stored over K<sub>2</sub>CO<sub>3</sub> and purified by preparative VPC. — IR

(liq.): 2965 s, 2920 m, 2865 m, 1442 m, 1295 m, 1242 m, 1208 m, 1180 w, 1150 m, 1137 m, 1093 w, 1010 w, 970 s, 928 m, 885 m, 820 m, 750 cm<sup>-1</sup> m. — <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ = 1.90 (m).

*Note added in proof (20.5.1985):* A recent paper (E. W. Della, R. S. Abeywickrema, M. K. Livett, and J. B. Peel, *J. Chem. Soc., Perkin Trans. II*, **1984**, 1653) reports on the photoelectron spectra of some 1-halogenobicycloalkanes. The assignment of the PE spectrum of **5** proposed therein differs slightly from that given in this work.

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